Governance in Action [GIA] for Wildlife Management Areas **Governance Assessment Toolkit**





Funded by the European Union 01

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PURPOSE & OBJECTIVES

Theory of Change Purpose & Objectives of GIA 10 Principles of 'Good Governance'

GOVERNANCE IN ACTION (GIA)

Methodology Dimensions of Good Governance Indicator Examples:

Dimension 1 - Accountability Dimension 2 - Capacity

CWMAC & GIA

Collaboration How can it help CWMAC achieve its goals?



Purpose & Objectives



01 Theory of Change

Process:

<< Strengthen governance & management capacity >>

 \rightarrow Increase investor confidence.

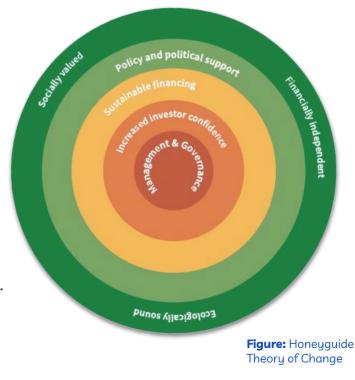
ONEYGUIDE

 \rightarrow Secure sustainable financing.

 \rightarrow Gain policy and political support for WMA model.

- 'GOOD GOVERNANCE'

Socially valued | Financially viable | Ecologically sound



01 Purpose & Objectives

Purpose:

→ **Assess** the status of **'good governance'** in Wildlife Management Areas (WMAs) in order to:

- → Identify the principles that WMAs experience challenges following.
- → Track progress of governance capacity-building activities:
 - i.e trainings, meetings, workshops, conferences, peer2peer, study tour, coaching, co-development, etc.
- \rightarrow Target capacity-building activities to the specific problem areas of the WMAs.

Objectives:

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- → Create a **governance assessment** toolkit which:
- Provides a governance score from Level 1 (worst) – Level 5 (best).
- **2.** Tracks changes and patterns of governance over time.
- 3. Provides suggestions / diagnostics on how to improve governance system and processes = a roadmap for AAs.



01 10 Principles of 'Good Governance'

- 1. Accountability
- 2. Capacity
- 3. Participation & Consensus
- 4. Openness & Transparency
- 5. Fairness & Equity
- 6. Autonomy & Authority
- 7. Rule of Law
- 8. Human Rights
- 9. Diversity & Inclusiveness

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10. Innovation & Resilience



10 Principles of 'Good Governance'

Principle 1: Accountability



"Actors including decision-makers in government bodies, civil society organisations, the private sector and non-governmental organisations that are **responsible for** or affect **natural resource governance** are held **accountable for** their actions and the **social** and **environmental impacts** they produce."

Principle 2: Capacity



"Governing bodies and personnel have adequate resources including human, technical and financial capital in order to be able to effectively undertake their roles and meet their responsibilities."

Principle 3: Participation & Consensus



"All actors, including minority groups should have a voice and be **able to participate in decision-making**, either directly or through legitimate intermediate institutions that represent their true intention. Decisions should be taken through the **mediation** of differing interests to reach broad consensus."

Principle 4: Openness & Transparency



"Reliable and relevant information concerning what is at stake in decision-making; which processes and institutions can exert influence; who is responsible for what; and how these people can be made accountable is readily available and easily accessible to those whose lives are influenced by natural resource governance."

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Principle 5: Fairness & Equity



"All community members have **opportunities to improve** and maintain their **wellbeing** through **equitable sharing of costs and benefits** generated from natural resources.

Principle 6: Autonomy & Authority



"Governing bodies that are closest to community members have the authority to undertake actions, make decisions and and enforce regulations in order to carry out their functions independently of other levels of the governance system."

Principle 7: Human Rights



"Human rights are respected and protected and discrimination on any grounds is not tolerated at any level of a governance system."

Principle 8: Rule of Law



"Natural resource-related laws and their application are fair and effective and protect fundamental rights, including both legal and customary rights."

Principle 9: Diversity & Inclusiveness



"Acknowledging the **diversity of people** that interact with the environment and **diverse** forms of knowledge this produces, leading to the inclusion of different perspectives and groups that have traditionally not been valued in conservation discourse."

Principle 10: Innovation & Resilience



"Adoption of **innovative approaches** to natural resource governance that incorporate **diverse forms of knowledge** and employ a multi-scalar **systems approach** to foster **system resilience** to changing social and environmental dynamics."

GIA Dimensions

Principle 1: Accountability



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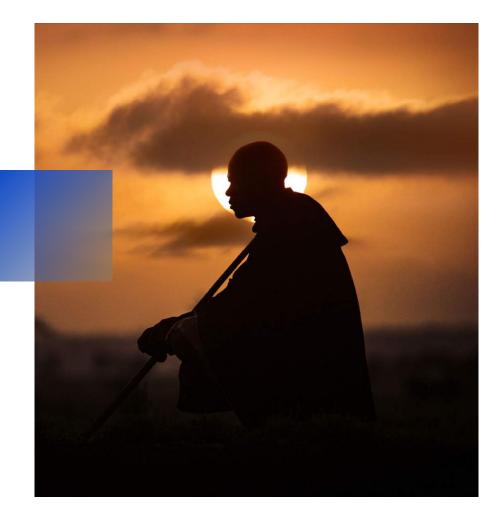


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Governance in Action (GIA)





Methodology

GIA

02 Governance of WMAs

 \rightarrow A WMA is a **complex** socio-ecological system that produces various processes and flows that extend across multiple scales (local \rightarrow global).

 \rightarrow Assessment of such a complex system requires for an **approach** that is **multi-dimensional** and considers **multiple stakeholders**.

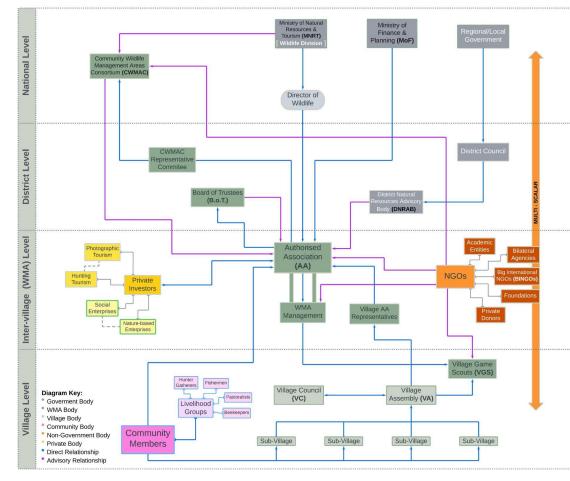


Figure: WMA governance structure for Governance in Action (GIA) Governance System Analysis

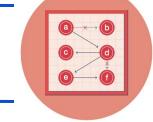


GIA Focus: Principles \rightarrow Dimensions

02







Dimension 2: Capacity

Dimension 3: Participation & Consensus



Dimension 4: Openness & Transparency

Note: Additional dimensions of 'good governance' are planned to be included in the GIA assessment toolkit overtime.



02 Composite Indicators

1. Create individual indicators for

different stakeholders that assess governance.

- i.e. Community perspectives on conflict resolution.i.e. Existence of a strategic plan
- i.e. Number of meetings held

2. Aggregate these **into** a **dimension**.

i.e. Accountability i.e. Participation & Consensus

3. Aggregate dimensions into an overall governance score for the WMA.

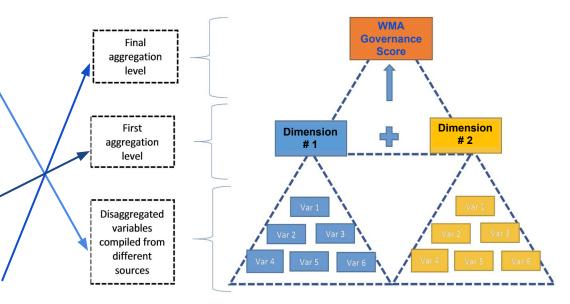
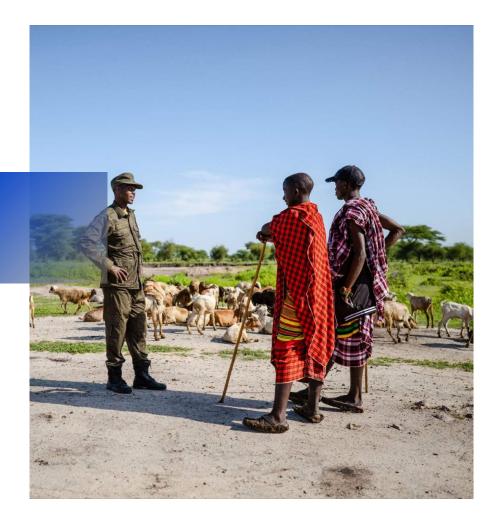


Figure: Purpose of composite indicators





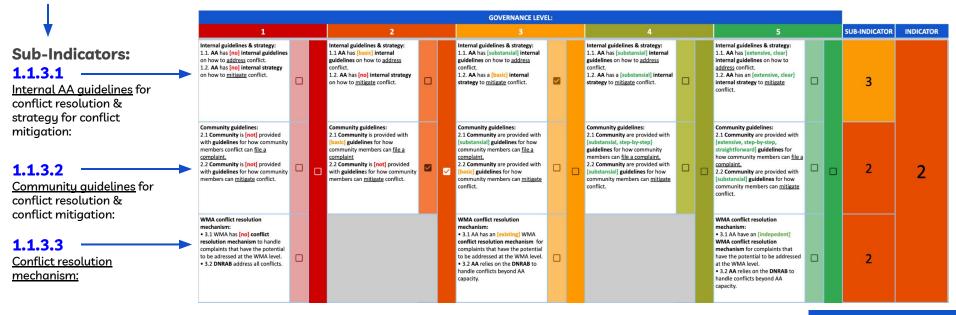
Indicator Examples

GIA

02 Indicator examples

Dimension 1: Accountability

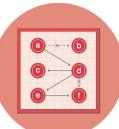
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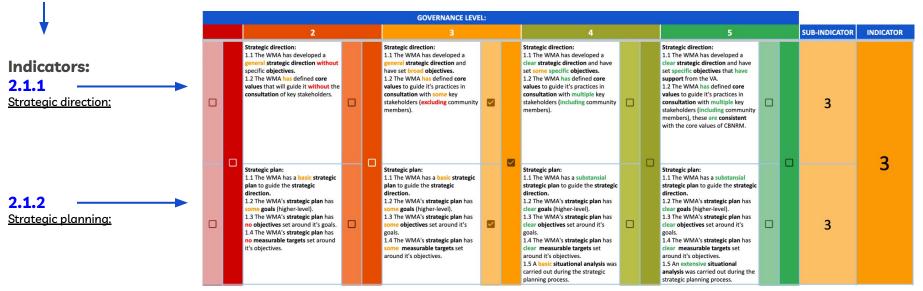
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02 Indicator examples

Dimension 2: Capacity



Sub-dimension: 2.1 Direction



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03 Collaboration

Questions:

- Input from CWMAC staff for creation of new indicators.
- What themes should GIA focus on?
- How can we make the assessment toolkit as useful as possible?
 - See Prosper's answer \rightarrow in notes.
- How can we make the toolkit something that is creative & innovative?
- For the future: Could the assessment toolkit be shared with partners in other countries in the region? (i.e. Kenya, Uganda, Zambia, etc.)



03 How can GIA help CWMAC achieve its goals?

M&E of WMA governance:

- Assess how individual WMAs are performing with regards to demonstrating 'good governance'
- Provide key information to partners, donors and supporters.
- Creates a roadmap for how an environment that fosters good governance can be created.

M&E of capacity-building activities:

- Evaluate the effectiveness of capacity-building activities conducted (how are they making a difference?)



"kujenga **utawala bora** kwa jumuiya za hifadhi ya wanyamapori"







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